#### **Tumor Niche Network-Defined Subtypes** 1 **Predict Immunotherapy Response of** 2 **Esophageal Squamous Cell Cancer** 3

4

Kyung-Pil Ko,<sup>1</sup> Shengzhe Zhang,<sup>1</sup> Yuanjian Huang,<sup>1</sup> Bongjun Kim,<sup>1</sup> Gengyi Zou,<sup>1</sup> Sohee Jun,<sup>1</sup>Jie Zhang,<sup>1</sup> Cecilia Martin,<sup>2</sup> Karen J. Dunbar,<sup>2</sup> Gizem Efe,<sup>2</sup> Anil K. Rustgi,<sup>2</sup> Haiyang Zhang,<sup>3</sup> Hiroshi Nakagawa,<sup>2</sup> Jae-II Park<sup>1,4,5,\*</sup> 5 6

<sup>1</sup>Department of Experimental Radiation Oncology, Division of Radiation Oncology, The 7 University of Texas MD Anderson Cancer Center, Houston, TX 77030, USA 8

<sup>2</sup>Division of Digestive and Liver Diseases. Department of Medicine. Herbert Irving 9

Comprehensive Cancer Center, Columbia University Irving Medical Center, New York, 10

NY 10032, USA 11

<sup>3</sup>Key Laboratory of Cancer Prevention and Therapy, Tianjin, Tianjin's Clinical Research 12

Center for Cancer, National Clinical Research Center for Cancer, Tianjin Medical 13

University Cancer Institute and Hospital, Tianjin, China 14

<sup>4</sup>The University of Texas MD Anderson Cancer Center UTHealth Houston Graduate 15 School of Biomedical Sciences, Houston, TX 77030, USA 16

<sup>5</sup>Program in Genetics and Epigenetics, The University of Texas MD Anderson Cancer 17

- Center, Houston, TX 77030, USA 18
- \*Correspondence: Jae-II Park 19
- E-mail: jaeil@mdanderson.org; Tel: 713-792-3659; Fax: 713-794-5369 20

#### 22 Summary

Despite the promising outcomes of immune checkpoint blockade (ICB), resistance to 23 ICB presents a new challenge. Therefore, selecting patients for specific ICB 24 applications is crucial for maximizing therapeutic efficacy. Herein we curated 69 human 25 esophageal squamous cell cancer (ESCC) patients' tumor microenvironment (TME) 26 single-cell transcriptomic datasets to subtype ESCC. Integrative analyses of the cellular 27 network transcriptional signatures of T cells, myeloid cells, and fibroblasts define distinct 28 ESCC subtypes characterized by T cell exhaustion, Interferon (IFN) a/b signaling, TIGIT 29 enrichment, and specific marker genes. Furthermore, this approach classifies ESCC 30 patients into ICB responders and non-responders, as validated by liquid biopsy single-31 cell transcriptomics. Our study stratifies ESCC patients based on TME transcriptional 32 network, providing novel insights into tumor niche remodeling and predicting ICB 33 responses in ESCC patients. 34

35

Keywords: Esophageal squamous cell cancer, tumor microenvironment (TME), single cell transcriptomics, immune checkpoint inhibitors, cancer immunotherapy,
 immunotherapy resistance.

39

#### 41 Introduction

Esophageal cancer is the seventh most prevalent cancer, and ESCC accounts for more 42 than 80% of esophageal cancer cases worldwide.<sup>1, 2</sup> The leading cause of cancer death 43 of ESCC is the sixth high of all types of cancer as the 5-year survival rate is as low as 44 10-25 %.3 Despite its high incidence, the treatment option for ESCC is limited 45 compared to the other major types of cancer. Among the multidisciplinary treatment, 46 including surgery, neoadjuvant therapy, and chemoradiotherapy, therapeutic option for 47 ESCC largely relies on cytotoxic reagent-based chemotherapy. However, the outcome 48 is unfavorable.<sup>4, 5</sup> 49

To overcome the limited efficacy of ESCC treatment, immunotherapy using 50 immune checkpoint inhibitors (ICI)<sup>6</sup> has recently been tested in clinical trials, which 51 resulted in survival benefits for advanced or metastatic ESCC patients.<sup>7-9</sup> However. 52 approximately 34% and 25% of ESCC patients discontinued ICI treatment because of 53 disease progression<sup>9</sup> and severe adverse effects,<sup>10, 11</sup> respectively. In recent clinical 54 trials, the ICI response rate of ESCC patients was only 17% to 28%.<sup>10-12</sup>. Although the 55 clinical trials using ICIs are mainly applied to patients diagnosed with advanced or 56 metastatic ESCC, pathologic criteria used for selecting patients for ICIs remain to be 57 clarified.<sup>5</sup> Despite the modern pathological criteria, such as PD-L1 expression in tumor 58 cells, stratifying ESCC patients for specific ICIs becomes crucial in improving the 59 effectiveness of immunotherapy. 60

Tumor microenvironment (TME), a cellular niche surrounding tumor cells, 61 includes immune cells, fibroblasts, and endothelial cells.<sup>13</sup> Accumulating evidence 62 suggests that TME plays a crucial role in tumor progression, metastasis, therapy 63 resistance, and immune evasion.<sup>14</sup> Along with the advent of single-cell transcriptomics, 64 the oncogenic functions of TME in ESCC tumorigenesis have been recently unraveled. 65 Several studies characterized ESCC TME as creating an immunosuppressive 66 environment.<sup>15-18</sup> In addition to the conventional cancer classification, which mainly 67 relies on the pathologic stages,<sup>19</sup> transcriptome-based cancer classification has 68 recently been introduced in several cancer types.<sup>20, 21</sup> Simultaneously, profiling cancer 69 immune systems or cancer-associated fibroblasts (CAFs) identified tumorigenic roles of 70 tumor-infiltrated\_ immunocytes and CAFs, which also gained attention.<sup>22, 23</sup> 71 Nonetheless, comprehensive dissection and characterization of ESCC TME still 72 needed to be achieved. Moreover, how distinct TMEs define immune evasion and ICB 73 response of ESCC remains to be determined. 74

Herein we analyzed 69 single-cell transcriptomic datasets of ESCC patients'
 primary tumor samples and characterized whole TME. Intriguingly, comprehensive
 analyses of TME identified the distinct networks among T cells, myeloid cells, and
 fibroblasts, which define specific subtypes and immunosuppression of ESCC.

79

#### 81 **Results**

#### <sup>82</sup> Tumor immune environment transcriptome-based classification of ESCC patients

To elucidate the tumor microenvironment (TME)-based patient characterization, we 83 analyzed single-cell RNA-sequencing (scRNA-seq) datasets of ESCC primary tumors 84 from 69 patients (Fig. 1). All datasets were integrated using the Harmony algorithm<sup>24</sup> 85 and processed to analyze only non-epithelial cells (EPCAM negative) comprising ESCC 86 TME (Fig. 2A and 2B). Unsupervised transcriptomic clustering revealed several immune 87 cell types, fibroblasts, and mast cells (Fig. 2C and Supplementary Fig. S1A). Since T 88 cells play a pivotal role in eliciting an immunogenic response to tumor cells, we first 89 analyzed T cell clusters.<sup>25, 26</sup> T cell clusters were isolated and processed into the 90 subgroups (Fig. 2D). The unsupervised clustering using principal component analysis 91 (PCA) and Pearson's correlation categorized T cells of 69 datasets into four groups (T1, 92 T2, T3, and T4) (Fig. 2E). On the Uniform Manifold Approximation and Projection 93 (UMAP), T cells of T1 and T3 groups were closely located together. In contrast, the T4 94 group was slightly distinct from the T1 and T3 groups (Fig. 2F). Notably, the T2 group 95 was the most distantly located on the UMAP, indicating the minor similarity of T2 96 transcriptome compared to that of T1, T3, and T4 groups (Fig. 2F). To define subsets of 97 T cells, we annotated T cells based on the marker genes expression (Fig. 2G and 98 Supplementary Fig. S1B and S1C). In a detailed cell subset analysis, T cells of the T2 99 group showed the most abundance in CD8 T cells, while the other subsets (T1, T3, and 100 T4) rarely exhibited CD8 T cells (Fig. 2G). Besides, T cells of the T4 group showed the 101 highest proportion of exhausted T cells (T<sub>ex</sub>) compared to the other three groups (Fig. 102

2G). Since T2 is the distinct subgroup, we further analyzed the T cells of the T2 group. 103 After excluding the CD4 T cell cluster, we found that T2 group T cells can be classified 104 into several memory T cells based on the marker genes of subsets (Supplementary Fig. 105 S1D and S1E).<sup>27, 28</sup> Interestingly, late memory T cells and effector T cells were 106 observed to be the most frequent cells in the T2 group compared to the other subsets 107 (Supplementary Fig. S1F). These results indicate that the T4 group of patients is mainly 108 characterized by T cell exhaustion, whereas the T2 group is enriched with active CD8 T 109 cells. 110

In addition, we comparatively analyzed myeloid cell clusters of 69 datasets (Fig. 111 2H). Similar to T cell analysis, Myeloid cells transcriptomes were classified into four 112 groups (Ma, Mb, Mc, and Md) based on the principal component analysis and Pearson's 113 correlation (Fig. 2I). Myeloid cells of Ma and Mc showed close location on the UMAP. In 114 contrast, some Md cells were distinguishable from Ma and Mc. The most distinct 115 myeloid sub-group was Mb on the UMAP (Fig. 2J). Interestingly, based on the clustering 116 with marker genes, Mb-grouped myeloid cells were enriched with M1 macrophages, 117 whereas possessing the least proportion of M2 macrophages compared to the other 118 three groups (Ma, Mc, and Md) (Fig. 2K and Supplementary Fig. S1G). The Ma and Mc 119 groups of myeloid cells were enriched with macrophages. Md groups showed the 120 highest proportion of M2 macrophages among the four groups (Fig. 2K). These results 121 imply that the ESCC patients in the Mb group might have tumor-unfavorable myeloid 122 cells compared to the other groups. 123

124

#### 125 Single-cell transcriptomes of myeloid and T cells define immunosuppressive

#### 126 **ESCC subtypes**

We next evaluated which group of T cells exhibits the most immunosuppressive 127 characteristics by the expression of T<sub>ex</sub> markers. The T4 group expressed the highest 128 level of LAG3, PDCD1, and HAVCR2, whereas the T2 group barely expressed the Tex 129 cell markers (Fig. 3A and Supplementary S2A). To test if the T cell category correlates 1 30 with myeloid cell classification, we compared the frequency of each patient of the T cell 1 31 group in the myeloid cell group. Interestingly, patients of T2, the least T<sub>ex</sub>-characterized 1 32 group, solely belonged to the Mb categories. T4-grouped patients, the enriched T<sub>ex</sub>-133 characterized group, were mainly distributed to Ma- or Mc-grouped patients (Fig. 3B 134 and 3C). The most frequent patients of T4 were identified as Ma- and Mc-grouped 135 patients. Besides, T1- and T3-grouped patients were primarily directed from Ma- or Mc-136 grouped patients and Mc- or Md-grouped patients, respectively (Fig. 3B and 3C). 1 37 Accordingly, we combined the categories of T cells and myeloid cells to make 13 sub-138 groups (M-T groups) of patients (Fig. 3D). We observed that the Mb-T2 group was 1 39 separated from other cell clusters in the T cell UMAP. The Ma-T4 or Mc-T4 groups were 140 slightly distinct from the significant population of T cells in the UMAP (Fig. 3E). We also 141 identified that Ma-T4 and Mc-T4 groups exhibited the highest expression of Tex cell 142 markers. Conversely, the Mb-T2 group showed the lowest expression of those markers 143 (Fig. 3F and Supplementary Fig. S2B and S2C). Based on these findings, we analyzed 144 Ma-T4 and Mc-T4 groups of patients with T cells, epithelial cells, and myeloid cells 145 since these groups showed the highest expression of T<sub>ex</sub> markers in T cells. In the 146 GSEA comparing Ma-T4 or Mc-T4 with the Mb-T2 group of T cells, both Ma-T4 and Mc-147

T4 groups displayed enrichment of 'Negative regulation of lymphocyte activation' and 148 'IFN  $\alpha/\beta$  signaling' (Fig. 3G and 3H and Supplementary Fig. S2D), implying that T cells 149 are enriched with type I IFN signaling in the Ma-T4 and Mc-T4 groups. Since Ma-T4-150 and Mb-T2-grouped T cells have the most and least T<sub>ex</sub> features, respectively, we 151 compared these two groups in T cells to identify specific signaling pathways for each 152 group. From the positive signaling in Ma-T4 and negative signaling in Mb-T2 groups, we 153 found that 'PD-1 signaling' and 'IFN  $\alpha/\beta$  signaling' were shared in T cells of both groups 154 by GSEA analysis (Fig. 3I and 3J). These results suggested that Ma-T4-grouped T cells 155 were relatively enriched with immunosuppressive signaling compared to the Mb-T2-156 grouped T cells. Additionally, from the GSEA of epithelial and myeloid cells, epithelial 157 cells of Ma-T4 and Mc-T4 groups were observed to show enriched 'IFN  $\alpha/\beta$  signaling', 158 consistent with the result from T cell (Supplementary Fig. S2E and S2F). These results 159 suggest that Ma-T4 and Mc-T4 groups are characterized by T cell exhaustion and IFN 160 signaling activation compared to the Mb-T2 group. 161

162

# Cellular interactome identifies the TIGIT-NECTINE2 pathway as a co-suppressor for immunosuppressive TME

<sup>165</sup> We next performed cell-to-cell interaction analysis using the 'CellChat' package that <sup>166</sup> infers cellular interactome based on ligand-receptor expressions.<sup>29</sup> Comparative <sup>167</sup> analysis of cell-to-cell interactions in Ma-T4 and Mb-T2 identified that TIGIT, NECTIN, <sup>168</sup> and PD-L1 signaling were significantly enriched in the Ma-T4 patient group (Fig. 4A).

The same results were also observed in the comparison between Mc-T4 and Mb-T2 169 groups of patients (Fig. 4B). Consistently, TIGIT expression was higher in Ma-T4 and 170 Mc-T4 groups while lower in Mb-T2 group, especially in T cells (Fig. 4C and 4D and 171 Supplementary Fig. S3A). TIGIT was primarily expressed in Tex and CD4 T cells as 172 previously reported (Fig. 4E).<sup>30, 31</sup> The expression of TIGIT in T<sub>ex</sub> was markedly higher 173 in Ma-T4 and Mc-T4 groups compared to the Mb-T2 group (Fig. 4F). As NECTIN2 is 174 known to be a ligand for TIGIT and CD226,<sup>32</sup> TIGIT and NECTIN2 signaling-mediated 175 interactions were significant and abundant in Ma-T4- and Mc-T4-grouped patients 176 compared to Mb-T2 patients with similar interacting patterns (Fig. 4G). Moreover, 177 NECTIN2 was expressed mainly in epithelial cells, which implies possible interaction 178 between epithelial cells and T cells through NECTIN2 and TIGIT (Supplementary Fig. 179 S3C and S3D). However, the expression of NECTIN2, a competitive ligand of TIGIT and 1 80 CD226, was not significantly higher in Ma-T4 or Mc-T4 group compared to the other 1 81 groups (Supplementary Fig. S3E). We analyzed the specific genes in cell-to-cell 182 interactions and found that various types of cells, including epithelial and myeloid cells, 183 were predicted to interact with T cells and myeloid cells via NECTIN2 and TIGIT. The 184 interactions between NECTIN2 and TIGIT or CD226 were more abundant in Ma-T4 and 1 85 Mc-T4 groups compared to Mb-T2 (Fig. 4H). These results suggest that T cell activation 186 inhibitory signaling, i.e., NECTIC and TIGIT, could be therapeutic targets for Ma-T4 and 1 87 Mc-T4 patients. 188

# Subgroups defined by fibroblast transcriptomes direct immunosuppressive phenotypes

We next analyzed fibroblast clusters of 69 ESCC datasets based on their transcriptomic 192 similarity. The fibroblast clusters showed highly heterogenic features by individuals, 193 which was not evident in T cells and myeloid cells (Fig. 5A). The correlation matrix of 194 fibroblast identified five subgroups (F1, F2, F3, F4, and F5) of patients (Fig. 5B and 5C). 195 Interestingly, most patients of the F4 subgroup overlapped with those of the T2 196 subgroup (Fig. 5D-5F). Meanwhile, the T4 subgroup characterized by abundant T<sub>ex</sub> cells 197 was mainly distributed to F1, F2, and F5 subgroups (Fig. 5D-5F). Therefore, we 198 constructed combined F-T groups connecting fibroblast groups and T cell groups and 199 compared them on UMAP, which showed that the F4-T2 was the most distinct subgroup 200 on the UMAP of T cell (Fig. 5G). Furthermore, the F1-T4 subgroup expressed the 201 highest level of T<sub>ex</sub> cell markers compared to the others (Fig. 5H and Supplementary Fig. 202 S4A). On the other hand, the F4-T2 group showed the most negligible expression of  $T_{ex}$ 203 cell markers (Fig. 5H and Supplementary Fig. S4A). Based on these findings, we 204 comparatively analyzed F1-T4 and F4-T2 sub-categorized fibroblasts using GSEA. Two 205 hundred eleven signaling pathways overlapped in the F1-T4-positively significant 206 dataset and the F4-T2-negatively significant dataset. Three signaling pathways 207 coincided in the F1-T4-negatively significant dataset and F4-T2-positively significant 208 dataset (Fig. 5I). Interestingly, among those overlapped signaling, we found interleukins 209 and TGF-ß signaling pathways were enriched in F1-T4 fibroblasts (Fig. 5I-5K). In 210 contrast, complement process triggering signaling and FCGR (Fc-gamma receptor) 211 activation signaling were enriched in F4-T2 fibroblasts. Additionally, F1-T4 and F4-T2 212

grouped T cells were analyzed by GSEA. Consistent with M-T classification, the F1-T4 213 group showed 'PD-1 signaling' and 'IFN  $\alpha/\beta$  signaling' with positive NES while negative 214 NES for F4-T2 T cells (Supplementary Fig. S4B). In epithelial cell analysis, 215 'Mitochondrial electron transport NADH to Ubiguinone' signaling was identified as 216 specific to F1-T4 and F4-T2 with positive NES and negative NES, respectively, 217 consistent with the results from M-T class analysis (Supplementary Fig. S4C). Notably, 218 in comparison of M-T and F-T classification, we found all patients classified into Mb-T2 219 were also included in the F4-T2 group (Supplementary Fig. S4D). Although Ma-T4 and 220 Mc-T4 classified patients were distributed to several groups of F-T class, F1-T4-, F4-T4-, 221 and F5-T4-grouped patients only overlapped with Ma-T4 and Mc-T4 groups 222 223 (Supplementary Fig. S4D).

In the further comparative cell-to-cell interaction analysis of F1-T4 and F4-T2-224 subgrouped patients, we found that overall, the number of signaling interactions 225 between fibroblast and the other cell types was decreased in the F1-T4 subgroup 226 compared to that of F4-T2 (Supplementary Fig. 4E). Collagen and integrin-mediated 227 cell-to-cell interactions were primarily lost in the F1-T4 compared to F4-T2, which 228 implicates plausible roles of collagen and integrin for immune cell activation by fibroblast 229 (Supplementary Fig. S4F). We also analyzed the mast cells for the classification 230 (Supplementary Fig. S5A). 3,993 cells were segregated from TME datasets and 231 processed for calculating transcriptomic similarity (Supplementary Fig. S5B). However, 232 the difference in transcriptomes represented by Pearson's correlation was insufficient to 233 make subgroups. Collectively, fibroblast and T cell-based classification identified the 234

most significant differences in F1-T4 and F4-T2 groups with Tex markers expression, 235 IFN signaling in T cells, and TGF- $\beta$  signaling in fibroblasts.

237

236

#### Biomarkers of ESCC subtypes defined by TME transcriptomes 238

Our analyses found that patients of Ma-T4, Mc-T4, and F1-T4 subgroups have an 239 immunosuppressive tumor niche, while patients of Mb-T2 and F4-T2 subgroups carry a 240 tumor-unfavorable niche. Since the tumor niche is generated by the continuous 241 interaction between tumor cells and TME, it is presumable that tumor niche-based 242 classification also determines the characteristics of tumor cells. To determine distinct 243 features of tumors in each group, we sought to identify markers for each group of 244 patients and assess prognostic effects. In the epithelial cells, we first applied M (myeloid 245 cells)-T (T cells) classification and found specific genes for Ma-T4 and Mc-T4 (ISG15, 246 CFL1, PFN1, MYL6, MDK, and UBE2L6), and Mb-T2 (ASNSD1, RHOF, MRPL23, 247 SNRPD3, and EIF3J) groups (Fig. 6A and 6B). Using F (fibroblasts)-T (T cells) 248 classification, we also found F1-T4 (TPM2, MYL6, GABARAP, MRPL41, NDUFS8, and 249 UBE2L6) and F4-T2 (SNRPD3, DNAJB9, EIF3J, EEF1G, and EIF1) specific genes (Fig. 250 6C and 6D). Intriguingly, we found immunosuppressive Ma-T4 and F1-T4 groups 251 shared MYL6 (Myosin Light Chain 6) and UBE2L6 (Ubiquitin Conjugating Enzyme E2 252 L6) genes as biomarkers for tumor cells. Simultaneously, SNRPD3 (Small Nuclear 253 Ribonucleoprotein D3 Polypeptide) and EIF3J (Eukaryotic Translation Initiation Factor 3 254

Subunit J) genes were the overlapped biomarkers in tumor-favorable Mb-T2 and F4-T2
 groups of epithelial cells (Fig. 6E-6G and Supplementary Fig. S6A-S6D).

Then we determined the prognostic relevance of those specific genes using the 257 TCGA database. Among the identified epithelial cell marker genes, we found that higher 258 expression of UBE2L6 and MYL6 genes from Ma-T4 and Mc-T4 groups and UBE2L6, 259 MYL6, MRPL41, NDUFS8 (NADH: Ubiquinone Oxidoreductase Core Subunit S8), and 260 GABARAP (GABA Type A Receptor-Associated Protein) genes from F1-T4 group was 261 correlated with poor prognosis (Supplementary Fig. S6E). Meanwhile, ESCC patients 262 with higher expression RHOF (Ras Homolog. Family Member F) and SNRPD3 genes, 263 markers of Mb-T2 or F4-T2, showed better prognosis (Fig. 6E and Supplementary Fig. 264 S6E). 265

In addition to the biomarkers mainly expressed in tumor epithelial cells, we also 266 tried to find markers expressed in myeloid cells, T cells, and fibroblasts from assigned 267 subgroups. From the Ma-T4 and Mb-T2 subgroups in myeloid cells, MS4A6A 268 (Membrane Spanning 4-Domains A6A) and SNRPD3 were specifically expressed, 269 respectively, with significant correlation with prognosis. Moreover, SNRPD3 was 270 repeatedly identified as an Mb-T2-subgrouped T cell biomarker (Supplementary Fig. 271 S7A-S7E). Next, we identified markers specific to F1-T4 and F4-T2 subgroups of 272 fibroblasts and T cells (Supplementary Figs. S7F-S7I). S100A10 (S100 Calcium Binding 273 Protein A10) and FABP5 (Fatty Acid Binding Protein 5), F1-T4 grouped fibroblast 274 specific markers, were correlated with poor prognosis (Supplementary Fig. S7J). In 275 contrast, high expression of STK4 (Serine/Threonine Kinase 4), an F4-T2-grouped 276

fibroblast-specific marker, was linked to a better prognosis (Supplementary Fig. S7J). 277 Among the F1-T4 and F4-T2 subgroups-specific genes in T cells, high expression of 278 BAG3 (Bag Cochaperone 3) and SNRPD3 were correlated with poor prognosis and 279 better prognosis, respectively (Supplementary Fig. S7K). Interestingly, SNRPD3 was 2.80 observed as a better prognostic marker in epithelial cells, myeloid cells, and T cells of 281 the Mb-T2 subgroup, as well as epithelial cells and T cells of the F4-T2 subgroup. 282 Therefore, it is likely that SNRPD3 is a robust biomarker for patients with a tumor-283 unfavorable tumor niche. On the other hand, UBE2L6 is expected to be a potent 284 biomarker for patients with an immunosuppressive tumor niche, as higher expression of 285 this gene was observed in the epithelial cells of the Ma-T4, Mc-T4, and F1-T4 286 subgroups. Based on these findings, we analyzed ESCC tumor microarray (TMA) 287 samples to assess the expression of UBE2L6 and SNRPD3. UBE2L6 was highly 288 expressed in 22.2 % (IHC score=3, n=10) of tumor samples, and SNRPD3 was 2.89 markedly expressed in 13.3 % (IHC score=3, n=6) of patients (Fig. 6H and 6I). All 290 UBE2L6<sup>high</sup> patients showed a relatively lower expression of SNRPD3 (IHC score≤2), 291 and 5 out of 6 SNRPD3<sup>high</sup> patients displayed a low expression of UBE2L6 (IHC 292 score≤2). As identified from datasets, UBE2L6 was detected mainly from tumor cells, 293 while SNRPD3 staining was positive from TME and tumor cells. These results suggest 294 that UBE2L6 and SNRPD3 are biomarkers exclusively expressed in ESCC patients, 295 related to specific patient groups of immunosuppressive or tumor-unfavorable niches, 296 respectively. 297

# Pathological relevance of TME transcriptomics to anti-PD-1 immunotherapy response

Since we have identified patient subgroups to predict the response to immunotherapy, 301 we tested if our classification matches the immune cells of patients treated with anti-PD-302 1 immunotherapy. Patients were grouped into responders (R) and non-responders (NR) 303 by their sensitivity to the PD-1 antibody treatment. Peripheral blood immune cells from 304 three responders and three non-responders were collected to compare their phenotypes 305 to our established classification. Cell types, including T cell, B cell, monocyte, neutrophil, 306 and platelet, were annotated after integrating six datasets (Figs. 7A-7C). As expected, 307 T<sub>ex</sub> markers (TIGIT, HAVCR2, LAG3, and CTLA4) were observed to be highly 308 expressed in responders than in non-responders, indicating that patients who are 309 susceptible to ICB exhibit the higher Tex signature in their PBMCs compared to the 310 PBMCs of other patients (Fig. 7D and Supplementary Fig. S8A). Furthermore, TIGIT 311 was significantly expressed in CD8 T cells of PBMCs in responders compared to non-312 responders (Figs. 7D-7F), consistent with our findings from Ma-T4 and Mc-T4 grouped 313 patients. Therefore, we performed GSEA analysis in responders and non-responders 314 from their T cell clusters. Then we compared the results with those from T cells of Ma-315 T4, Mc-T4, and F1-T4 groups. Interestingly, we found that 'IFN signaling' was 316 significantly enriched in responders and Ma-T4, Mc-T4, and F1-T4 (Figs. 7G-7J). PD-1 317 signaling pathway-related genes were commonly enriched in Ma-T4 and F1-T4 groups 318 of T cells. Moreover, T cell scoring analysis using PD-1 pathway genes and IFN 319 pathway genes showed higher scores in responders, Ma-T4, Mc-T4, and F1-T4 groups 320 compared to non-responders and Mb-T2 groups, respectively (Fig. 7K). These results 321

echo the importance of IFN signaling in immunotherapy-sensitive patients, as we found 322 from Ma-T4- and Mc-T4-grouped patients (Figs. 3G and 3I). 'PD-1 signaling' and 'MHC 323 class II antigen presentation' of responders also overlapped with the GSEA results in 324 Ma-T4/F1-T4 and Mc-T4 groups, respectively (Figs. 7G-7I and Supplementary Fig. 325 S4B). Then we integrated single-cell transcriptomes of responders and non-responders 326 with the transcriptomes of previously classified 13 M-T groups of 69 ESCC patients to 327 test their transcriptomic proximity (Fig. 7L). From principal component analysis and 328 Pearson's correlation, the non-responder group was hierarchically closer to Mb-T2 than 329 to Ma-T4 or Mc-T4. On the other hand, the transcriptome of responders showed a 330 proximal cluster with Ma-T4 and Mc-T4 compared to Mb-T2 (Fig. 7M). A positive 331 correlation between responders and Mc-T4 was evident when we narrowed down the 332 comparison counterparts from 12 categories to 3 (Ma-T4, Mb-T2, and Mc-T4) 333 categories (Supplementary Fig. 8B). 334

To evaluate the accuracy of our M-T classifications for immunotherapy, we 335 compared the prediction results of different patient classifications with those of the M-T 336 groups. Instead of the separated analyses, such as T cell only or myeloid cell only, post-337 integration subgrouping of T cell and myeloid cell clusters was performed to construct a 338 new classification of patients (Supplementary Figs. S8C-S8D). After making this 339 Myeloid and T cell-combined groups (MT1-MT11), we integrated the patients' TME cells 340 datasets with PBMCs datasets of responders and non-responders (Supplementary Fig. 341 S8E). The new groups were not exclusive to the M-T groups, and the T<sub>ex</sub> scores were 342 the highest in the MT2 group in this new classification, while the scores were highest in 343 the Ma-T4 and Mc-T4 in the M-T classification (Supplementary Figs. S8F-S8H). 344

However, these new groups did not segregate responders and non-responders groups 345 in the correlation analysis, indicating that none of the MT-combined groups (MT1 -346 MT11) showed a higher correlation with responders or non-responders groups than the 347 proximity between responders and non-responders (Supplementary Fig. S8I). We next 348 compared M-T classifications with patients grouped by Tex cell markers expression. 349 Using the T<sub>ex</sub> cell scores in T cells, we grouped patients into four quartiles (High, High-350 Mid, Mid-Low, and Low). We integrated these data with responders and non-responders 351 datasets (Supplementary Figs. S8J-S8L). Surprisingly, although most Ma-T4 and Mc-T4 352 patients were included in High or High-Mid, these Tex cell markers-based groups did not 353 show a positive correlation with responders (Supplementary Fig. S8M). The same 354 workflow was used to classify patients based on the mean value (High and Low). 355 However, the High group still did not show a positive correlation with responders 356 (Supplementary Figs. S8N-S8Q). These results suggest that our M-T classifications are 357 more accurate in predicting responders than myeloid-T cells-combined or  $T_{\text{ex}}$  cell 358 markers-based categories. 359

#### 360 Discussion

To enhance the efficacy and minimize adverse effects of cancer therapies, it is crucial to 361 subtype and characterize patients, selecting those who will benefit most from specific 362 treatments. In this study, we curated a significant number of single-cell transcriptome 363 datasets from human ESCC patients, establishing precise patient categories based on 364 TME transcriptomes beyond conventional and molecular pathology (Fig. 8). We 365 discovered that combining the transcriptional signatures of myeloid cells with T cells (M-366 T) or fibroblasts with T cells (F-T) can effectively stratify ESCC patients, predicting the 367 outcomes of immunotherapy treatment. Specifically, patients classified as Ma-T4, Mc-368 T4, and F1-T4 displayed the  $T_{ex}$  cells phenotype in their T cells, suggesting a promising 369 response to ICB. Conversely, patients categorized as Mb-T2 and F4-T2 were unlikely to 370 respond to ICB, as their T cells rarely exhibited T cell exhaustion. The prediction of ICB 371 efficacy was supported by comparing the transcriptomes of patients who had undergone 372 immunotherapy, where Ma-T4, Mc-T4, F1-T4, and ICB-responders shared the same 373 signature of IFN signaling, with Mc-T4 exhibiting close transcriptomic proximity to ICB-374 responders. Although current immunotherapy primarily focuses on T<sub>ex</sub> cell markers, our 375 M-T classification was expected to provide a better prediction for ICB response than 376 grouping patients solely based on these markers. 377

In addition to selecting patient groups for ICB response, we propose potential adjuvant therapies to improve ICB treatment efficacy. We have identified the NECTIN2-TIGIT axis as a significant interaction between tumor cells and immune cells in Ma-T4or Mc-T4-grouped patients. NECTIN2 interacts with CD226 and TIGIT on the surface of

T cells, with the latter acting as a competitive inhibitor of CD226.<sup>33</sup> TIGIT prevents 382 CD226 homodimerization by binding to CD226, which suppresses CD226-mediated T 383 cell activation.<sup>34</sup> Moreover, TIGIT induces immunoregulatory effects by promoting the 384 maturation of immunoregulatory dendritic cells, T<sub>reg</sub> cells, and T<sub>ex</sub> cells. <sup>35-38</sup> TIGIT in 385 T<sub>reg</sub> upregulates coinhibitory receptors such as HAVCR2/TIM-3, thus playing a critical 386 role in immune responses.<sup>35</sup> Recent clinical trials have shown that TIGIT is a promising 387 new target for ICB, with phase III clinical trials for esophageal cancer (skyscraper-07 388 and skyscraper-08) ongoing. However, phase II and III clinical trials with lung cancer 389 patients have generated mixed results, <sup>39, 40</sup> with the latest results showing improved 390 overall response rate (ORR) and progression-free survival (PFS) when the anti-TIGIT 391 antibody was combined with the anti-PD-1 antibody in NSCLC patients, <sup>40</sup> but not in 392 patients with extensive-stage small-cell lung cancer (ES-SCLC). <sup>39</sup> Our findings suggest 393 that patient selection for ICB treatment needs to be based on additional standards 394 beyond PD-1 or PD-L1/2 expression in tumors. Categorizing patients into detailed 395 groups based on TME transcriptomes may improve the efficacy of ICB treatment. 396

<sup>397</sup> To enhance immunotherapy's efficacy, targeting enriched signaling pathways in <sup>398</sup> each group would also be promising. For example, suppression of IFN signaling in Ma-<sup>399</sup> T4- and Mc-T4-like patients and TGF- $\beta$  signaling or interleukin signaling in F1-T4-like <sup>400</sup> patients might improve ICB efficacy. Although a majority of studies focused on the roles <sup>401</sup> of the anti-tumor effect of IFN  $\alpha/\beta$ , a recent study revealed that type I IFN protects <sup>402</sup> cancer cells from T cell-mediated cytotoxicity.<sup>41, 42</sup> Furthermore, persistent IFN signaling <sup>403</sup> activation induces resistance to ICB therapy.<sup>41, 43</sup> Accordingly, ISG15, an IFN-

stimulated gene, and UBE2L6 are highly expressed in the tumor cells of Ma-T4- or Mc-404 T4-grouped patients, as observed in other types of cancer.<sup>44, 45</sup> Considering the roles of 405 ISG15 and UBE2L6 in controlling TP53 stability by ISGylation, IFN signaling enriched 406 groups might experience frequent intratumoral genetic alterations through the 407 downregulation of TP53.<sup>46, 47</sup> Notably, IFN signaling is specifically activated in the T cells 408 of PD-1 immunotherapy responders as well as those of Ma-T4, Mc-T4, and F1-T4 (Fig. 409 7). These results highlight the robustness of IFN signaling across tumor cells and 410 immune cells in immunotherapy-applicable patient groups, which can serve as an 411 adjuvant target for immunotherapy. 412

While our results primarily rely on the transcriptional networks of the TME, we did 413 not include the transcriptional signatures of tumor cells in identifying biomarkers. 414 Nevertheless, we identified biomarkers in patient groups despite the inter-tumoral 415 heterogeneity, suggesting that the expression of these biomarker genes may be 416 associated with TME-released factors. Notably, IFN-stimulated genes such as ISG15 417 and UBE2L6 were among the identified biomarkers. In addition to in silico analyses, 418 future studies are needed to determine the therapeutic impact of anti-TIGIT pathway 419 inhibitors or IFN signaling inhibitors combined with ICBs on a specific group of 420 esophageal squamous cell carcinoma patients. Furthermore, examining more datasets 421 from ICB-experienced patients beyond the six reference datasets (responders and non-422 responders) we used here will provide a strong demonstration of the accuracy of our 423 classification. 424

This study stratifies ESCC patients based on myeloid, T cell, and fibroblast transcriptome analysis and proposes potential adjuvant targets to improve cancer immunotherapy for specific subtypes of ESCC. Additionally, utilizing the most extensive reference of ESCC TME transcriptome, this study provides new insight into tumor niche remodeling in ESCC.

#### 430 Acknowledgments

We thank Pierre D. McCrea and Malgorzata Kloc for their insightful comments and the 431 Herbert Irving Comprehensive Cancer Center for the shared resources (Biostatistics, 432 Genomics, and Molecular Pathology). This work was supported by the Cancer 433 Prevention and Research Institute of Texas (RP200315 to J.-I.P.), the National Cancer 434 Institute (CA193297 and CA256207 to J.-I.P; 5P30CA013696 and 5P01CA098101 to 435 A.-K.R., H.N., K.D., G.E., C.M.), an Institutional Research Grant (MD Anderson to J.-436 I.P.), a Specialized Program of Research Excellence (SPORE) grant in endometrial 437 cancer (P50 CA83639), and Radiation Oncology Research Initiatives. Schematic 438 representation was created with Biorender.com. 439

- 440
- 441

#### 442 Author contributions

K.-P.K. and J.-I.P. conceived and designed the experiments. K.-P.K., S.Z., Y.H., B.K.,
G.Z., S.J., and J.Z. performed the experiments. K.-P.K., H.N., H.Z., and J.-I.P. analyzed
the data. C.M., K.J.D., G.E., A.-K.R., and H.N. provided the ESCC TMA slides. H.Z.
provided the single-cell RNA-seq datasets of PD-1-treated patients. K.-P.K. and J.-I.P.
wrote the manuscript.

448 449

### 450 Disclosure of Potential Conflicts of Interest

451 No potential conflicts of interest were disclosed.

#### 453 **Figure Legends**

454

Figure 1 | Schematic workflow for transcriptomic analysis of TME from ESCC
 patients.

457

Figure 2 | Immune cells analysis and classification. A, Uniform Manifold 458 Approximation and Projection (UMAP) display of whole cells from 69 patients. Single-459 cell RNA-sequencing (scRNA-seq) results of the cells of TME were integrated and 460 B-C, Non-epithelial cells were isolated, and UMAP was redrawn with projected 461 individual patient's information. (B) and five major cell types (C). D, UMAP display of T 462 cells subgroup with unique patients ID. T cells were isolated from immune cells and 463 clustered again. E, T cells were classified into four sub-groups by principal component 464 analysis (PCA) and Pearson correlation. PCA result was clustered by the dendrogram, 465 and Pearson correlation was displayed by color spectrum. F, T cells were displayed in 466 UMAP based on the sub-groups defined from PCA and Pearson correlation. G, Each 467 sub-groups of T cells were shown with subsets using stacked bar plots. H, Myeloid cells 468 of each patient were displayed with UMAP. Myeloid cells were isolated from immune 469 cells and clustered independently. I, Myeloid cells were categorized into four sub-groups 470 by PCA and Pearson correlation. PCA results were displayed with a dendrogram, and 471 Pearson correlation was shown by color spectrum. J, Myeloid cells were displayed with 472 sub-groups identified from PCA and Pearson correlation. K, Each myeloid cell sub-473 group was displayed with subsets of myeloid cells. 474

475

Figure 3 | Comparative analysis of patients by myeloid and T cell classifications. 476 A, T<sub>ex</sub> cell markers expression in each T cell sub-group. B, The number of patients of T 477 cell-sub-groups was displayed in each patient's sub-groups categorized by myeloid cells. 478 **C**, The number of myeloid cell-sub-groups was displayed in each patient's sub-groups 479 categorized by T cells. The proportion of myeloid-cell-based classified patients in each 480 sub-group of T cells was shown with pie plots. D, Individual patients were subjected to 481 each sub-group of myeloid and T cells by Sankey plot. P009A patient was not included 482 in the myeloid cell-based sub-group due to the lack of myeloid cells in the dataset. Each 483 patient was classified into 13 groups (M-T groups) by sub-groups of myeloid cells and T 484 cells and categories. E, T<sub>ex</sub> cell markers expression in T cells in M-T groups of patients. 485 F, T cells of each patient from 13 groups were displayed with UMAP. G-H, GESA 486 analysis was performed in T cells of M-T groups of patients. The results of GSEA from 487 the Ma-T4 and Mc-T4 groups of patients were compared. GOBP and REACTOME 488 databases were used, and the significant signaling pathways with positive values of 489 NES were compared. Overlapped signaling pathways were displayed with a Venn 490 diagram (G) and enrichment plot (H). I-J, GSEA analysis was performed in T cells of 491 Ma-T4 and Mb-T2 patients. significant signaling pathways with both positive and 492 negative valued of NES were compared, and the shared signaling, which has positive 493 values of NES in Ma-T4 and negative values of Mb-T2 were analyzed. The number of 494 shared and exclusive signaling in each group was shown in the Venn diagram (I). PD-1 495 signaling, shared signaling in T cell GSEA analysis of Ma-T4 positive and Mb-T2 496 negative, was displayed with enrichment plots (J). 497

Figure 4 | Cell-to-cell interactions comparison in M-T groups of patients. A, 499 Enriched cell-to-cell signaling calculated by CellChat was compared in the Ma-T4 and 500 Mb-T2 group of patients. T cell exhaustion-related signaling pathways were highlighted. 501 **B**, Enriched cell-to-cell signaling calculated by CellChat was compared in the Mc-T4 502 and Mb-T2 group of patients. T cell exhaustion-related signaling pathways were 503 highlighted. C, TIGIT expression in the T cells was displayed with feature plots. T cells 504 of Ma-T4, Mc-T4, and Mb-T2 groups were separated and projected. D, TIGIT 505 expression in M-T groups was shown with a dot plot. All the cells, including tumor and 506 immune cells, were compared in each group of patients. E, TIGIT expression in each 507 cell type was compared. Ma-T4, Mc-T4, and Mb-T2 groups of patients were displayed. 508 **F**, TIGIT expression in T<sub>ex</sub> cells was compared in Ma-T4, Mb-T2, and Mc-T4 sub-groups. 509 G, Significant interactions within cell types were shown with circle plots. TIGIT and 510 NECTIN signaling pathways were compared in the Ma-T4, Mc-T4, and Mb-T2 groups of 511 patients. H, Specific genes related to NECTIN signaling pathways were displayed with 512 chord plots. The source group of cell types was located on the bottom hemispheres, 513 and the receiver group was on the top hemispheres. Ma-T4, Mc-T4, and Mb-T2 groups 514 of patients were compared. 515

516

Figure 5 | Fibroblasts classification and patients grouping with T cell class. A, 517 Fibroblasts of each patient were isolated and independently analyzed. UMAP labeled 518 with each patient was shown. **B**, Fibroblasts were classified into five sub-groups by 519 principal component analysis (PCA) and Pearson correlation. PCA result was clustered 520 by the dendrogram, and Pearson correlation was displayed by color spectrum. C. 521 Fibroblasts classification was displayed in UMAP. D, The number of patients of T cell 522 sub-groups was displayed in each sub-group categorized by fibroblasts. E, The number 523 of patients of fibroblast sub-groups was displayed in each sub-group categorized by T 524 cells. The patient proportion of each fibroblast sub-group was shown on T cell sub-525 groups with pie plots. F, Sankey plot showing the connection of each patient's fibroblast 526 and T cell categories. Patients were re-grouped by fibroblast and T cell categories (F-T 527 group), and T cells of the patients were shown with the F-T group. G, T<sub>ex</sub> markers 528 expression was compared in F-T groups in T cells with dot plots. H. Spatial location of T 529 cells of F1-T4 and F4-T2 groups were shown on the UMAP. I, Fibroblasts from F1-T4 530 and F4-T2 groups were subjected to GSEA analysis using the REACTOME database. 531 Significant signaling pathways were listed with positive values of NES and negative 532 values of NES. Shared or exclusive signaling pathways between F1-T4 and F4-T2 were 533 visualized with a Venn diagram. J-K, Overlapped signaling pathways in F1-T4-positive 534 and F4-T2-negative values of NES from GSEA. Enrichment plots of Signaling by 535 interleukins (J) and TGF- $\beta$  signaling in EMT (K) were displayed. 536

537

Figure 6 | Biomarkers of tumor cells based on M-T or F-T groups and their correlation with prognosis. A-D, Patients' epithelial cells were grouped by M-T and F-T categories, and each group was projected to DEG analysis. The genes of which high expression are related to poor prognosis of ESCC patients were highlighted in red. The genes of which high expression related to better prognosis of ESCC patients were highlighted in blue. M1-T4- and M3-T4-specific (A) and M2-T2-specific (B) marker genes were displayed with dot plots. F1-T4-specific (C) and F4-T2-specific (D) marker

genes were displayed with dot plots. E-G, Identified biomarkers from Ma-T4, F1-T4, Mb-545 T2, and F4-T2 were displayed with Venn diagram (E), and gene expression in each 546 group was shown with UMAP (F). Expression of overlapped marker genes shown in the 547 Venn diagram was compared in Ma-T4, Mb-T2, Mc-T4, F1-T4, and F4-T2 classified 548 epithelial cells using violin plots (G). H-I, Immunohistochemistry of UBE2L6 and 549 SNRPD3 from human ESCC were shown with scored heatmap (H) and representative 550 images (I). IHC scores displayed from 1 (lowest expression) to 3 (highest expression). 551 Scale bars = 50  $\mu$ m (lower magnification) and 20  $\mu$ m (higher magnification). 552 \*\*\*\*p<0.0001. 553

554

Figure 7 | Single-cell transcriptomics of immune cells of anti-PD-1 555 immunotherapy-treated patients. A-C, Peripheral blood immune cells transcriptomes 556 of three responders (R) and three non-responders (NR) (to anti-PD-1 ICI) were 557 integrated and presented with UMAP by cell types (A), patients (B), and response 558 groups (R vs. NR) (C). D-F, T<sub>ex</sub> marker genes expression were compared by the anti-559 PD-1 response (R vs. NR) (D), cell types (E), and T cell subsets (F). G-J, GSEA 560 analysis performed by responders vs. non-responders using the REACTOME database. 561 Significant results with positive NES and negative NES were listed with R positive and R 562 negative, respectively. GSEA results were compared with Ma-T4 (G), Mc-T4 (H), and 563 F1-T4 (I). Enrichment plots of PD-1 signaling and Interferon signaling were displayed (J). 564 **K**, Pathway scores were compared in 3 groups(1) responders and non-responders, 2) 565 Ma-T4, Mb-T2, and Mc-T4, 3) F1-T4 and F4-T2) and shown with dotplots. L, single-cell 566 transcriptomes of immunotherapy-experienced patients were integrated with 69 ESCC 567 patients' TME transcriptomes and shown with UMAP by M-T groups and anti-PD-1 568 response groups. M, Correlation matrix with M-T patient groups and anti-PD-1 response 569 groups. PCA result was clustered by the dendrogram, and Pearson correlation was 570 displayed by color spectrum. 571

572

**Figure 8 | Schematic representation of this study.** Single-cell transcriptomes of ESCC

<sup>575</sup> patients' TME cells were analyzed to predict immunotherapy response and identify <sup>576</sup> biomarkers and potential adjuvant therapies to improve efficacy. The prediction of <sup>577</sup> responsiveness was retrospectively validated by examining transcriptomes of ICB-<sup>578</sup> experienced patients' immune cells.

#### 580 STAR Methods

581

582 **RESOURCE AVAILABILITY** 

583

#### 584 Lead contact

Additional information and requests for resources and reagents should be directed to and will be fulfilled by the Lead Contact, Jae-II Park (jaeil@mdanderson.org).

587

#### 588 Materials availability

- 589 The materials will be available upon request.
- 590

#### 591 Data and code availability

scRNA-seq data are available via the National Center for Biotechnology Information
 Sequence Read Archive (SRA) under the accession numbers PRJNA777911 and
 PRJNA672851. The code used to reproduce the analyses described in this manuscript
 can be accessed via GitHub (https://github.com/jaeilparklab/ESCC\_project\_2) and is
 available upon request.

597 598

#### 599 **METHOD DETAILS**

600

### <sup>601</sup> scRNA-seq data preparation

602

Public datasets. The raw read files of ESCC patient datasets were downloaded using 603 the parallel-fastg-dump package and converted to fastg files. The fastg files were 604 mapped to the GRCh38 reference genome using CellRanger (v7.0.1) pipeline. The 605 datasets from 9 patients (NCBI BioProject: PRJNA777911) were utilized to 606 CellRanger directly, while 60 patients' datasets (NCBI BioProject: PRJNA672851) 607 were separately input to CellRanger as CD45+ and CD45- datasets were sorted 608 during sample preparation. Single-cell dataset and patient information are described 609 in Supplementary Table 1. 610

611

### 612 scRNA-seq data analysis

Integration and clustering. The datasets from 9 patients were preprocessed 613 independently, and the CD45<sup>+</sup> cell clusters were retained for the immune cell 614 population. 60 patients' dataset analysis was started with CD45<sup>+</sup> sorted datasets. 615 After preprocessing procedures, 11 patients and 58 patients datasets were integrated 616 using the "concatenate" function in Scanpy. A batch correction was conducted using 617 "Harmony" implemented in Scanpy.<sup>24</sup> "Louvain" algorithm was used for clustering 618 cells. Each cell cluster was annotated primarily with "B cell", "Fibroblast", "Mast cell", 619 "Myeloid cell", and "T cell" using marker genes of each cluster. T cells were further 620 annotated with "CD4 T cell", "CD8 T cell", "exhausted T cell", and "effector T cell" and 621 Myeloid cells were further annotated into "Monocyte", "Macrophage", "M1 622 Macrophage", and "M2 Macrophage" clusters. 623

624

635

Classification of each cell type. "T cell", "Myeloid cell", "Fibroblast", and "Mast cell" 625 clusters were isolated, and each cell type was analyzed with individual patients. The 626 transcriptomic similarity of each patient was compared using the correlation matrix 627 function in Scanpy. Dendrograms were drawn to show PCA proximity, and Pearson 628 correlation was displayed with color code. Patients were clustered and classified 629 based on the result of the correlation matrix. Patients were classified by T cell, 630 myeloid cell, and fibroblast transcriptomes-based categories, then connected 631 classifications such as Myeloid cell-T cell (M-T) and Fibroblast-T cell (F-T) were 632 applied to each patient. The connected classification of each patient was visualized 633 with a Sankey plot using the "pysankey2" package. 634

Cell-to-cell interaction analysis. "CellChat" package was used for the cell-to-cell 636 interaction inference. To acquire intercellular interactions, epithelial cell datasets 637 were added to immune cell datasets. For 11 patient datasets, excluded CD45-cell 638 clusters were re-integrated into the immune cell datasets. For 58 patients' datasets, 639 CD45<sup>+</sup> datasets were analyzed from separated matrix files. After preprocessing 640 epithelial cells, "epithelial cells", "effector T cell", "exhausted T cell", "CD4 T cell", 641 "CD8 T cell", "M1 macrophage", "M2 macrophage", "Macrophage", "Monocyte", "B 642 cell", "Fibroblast", and "Mast cell" clusters were merged. M-T or F-T classification-643 based patient groups were used to generate gene expression matrices for the 644 CellChat analysis. From significant signaling pathways, "TIGIT" and "NECTIN" 645 signaling were specified for analysis in each group of patients. Comparative analysis 646 was performed using two different groups of patients (Ma-T4 vs. Mb-T2 and Mc-T4 vs. 647 Mb-T2). 648

649

fGSEA analysis. "fGSEA" package was used for the GSEA analysis of Ma-T4, Mb-T2, 650 Mc-T4, F1-T4, and F4-T2 groups of patients. "Epithelial cells", "Myeloid cell", "T cell", 651 and "Fibroblast" clusters were independently analyzed to obtain a differentially 652 expressed gene (DEG) list. DEG was performed in Scanpy with the 653 "rank\_gene\_groups" function using the "Wilcoxon" method. "C2" category and 654 "REACTOME" subcategory or "C5" category and "GO:BP" subcategory were used to 655 use each database. GSEA results are listed in Supplementary Tables 2 -656 Supplementary Table 5. 657

658

### 659 PBMCs scRNA-seq data analysis

Integration and clustering. PBMCs scRNA-seq datasets from anti-PD-1 therapy responders and non-responders were provided by Dr. Haiyang Zhang.<sup>48</sup> Three responders' and three non-responders' gene expression matrix files were independently preprocessed and integrated. The batch effect was reduced by Harmony algorithm<sup>24</sup>, and cell types were annotated with markers used in the previous study.<sup>48</sup> PBMC datasets were further integrated with 69 patients' human ESCC datasets with the same workflow and analyzed.

667

fGSEA analysis. fGSEA analyses were performed with isolated T cells with DEG lists
 between responders and non-responders, as described above. REACTOME

database was used, and the results were compared with human ESCC patient fGSEA results. GSEA results of PBMCs are listed in Supplementary Table 6.

672

Pathway score analysis. The Pathway scores were performed using the
 "scanpy.tl.score\_genes" function implemented in the Scanpy package. The analysis
 were done with default parameters and the reference genes from MSigDB and other
 literature. Reference genes were listed in Supplementary Table 7.

677

## 678 Kaplan-Meier analysis

The survival of ESCC patients was analyzed based on the gene expression using the publicly available database (Kaplan-Meier Plotter, http://kmplot.com/analysis). Survival data of 81 ESCC patients were classified into two groups by gene expression. Patients were labeled with "high" when the expression of a gene of interest was above the median expression value, and the others were labeled with "low". The survival of patient groups was compared until 70 months, and hazard ratio (HR) and the log-rank *P* value (logrank P) were indicated.

686

## 687 Immunohistochemistry

Immunostaining was performed as previously described.49 ESCC cancer tissue 688 microarray slides containing 144 samples from 55 patients were provided by Dr. Hiroshi 689 Nakagawa. Antigens were retrieved from paraffin-embedded tissues using a basic (pH 690 9.0) buffer. After blocking the tissues in PBS with goat serum, samples were incubated 691 with primary antibodies (UBE2L6 [1:200] and SNRPD3 [1:200]) Detection was 692 performed using an HRP-conjugated secondary antibody, followed by DAB. Samples 693 counterstained with hematoxylin and mounted with coverslips. were The 694 immunohistochemistry results were scored from 0 to 3, then analyzed and visualized 695 using R and GraphPad Prism (v9.2.0). 696

697

## 698 Statistical analysis

The Student's *t*-test was used to compare two groups ( $n \ge 3$ ), and a one-way analysis of statistical variance evaluation was used to compare at least three groups ( $n \ge 3$ ). *P* values < 0.05 were considered significant. Error bars indicate the standard deviation (s.d.). All experiments were performed three or more times independently under identical or similar conditions.

705	Supplementary Information
706	
707	
708	Supplementary information titles
709	Cumplementary Figure 4. Subtypes of T cells and myslaid cells
710	Supplementary Figure 1. Subtypes of 1 cells and myeloid cells.
/11	different cell types
/1Z	Supplementary Figure 3 Epithelial cell analysis by myeloid-T cell (M-T)
714	classification
715	<b>Supplementary Figure 4.</b> Cell-to-cell interactions comparison in F1-T4 and F4-T2
716	groups.
717	Supplementary Figure 5. Transcriptomic analysis of mast cell cluster.
718	Supplementary Figure 6. M-T groups- and F-T groups-based biomarkers of TME
719	cells and their correlation with prognosis.
720	Supplementary Figure 7. M-T groups- and F-T groups-based biomarkers of TME
721	cells and their correlation with prognosis.
722	Supplementary Figure 8. Comparison between responders and non-responders
723	for anti-PD-1 immunotherapy.
724	
725	Supplementary Table 1. The information of single-cell RNA-seq datasets.
726	Supplementary Table 2. GSEA results of T cells of ESCC patients by M-T
727	classifications, related to Figure 3.
728	ESCC patients by M T classifications, related to Figure S2
729	Supplementary Table 1 GSEA results of fibroblasts of ESCC patients by E-T
730	classifications related to Figure 5
732	<b>Supplementary Table 5.</b> GSEA results of T cells and epithelial cells of ESCC
733	patients by F-T classifications, related to Figure S4.
734	<b>Supplementary Table 6.</b> GSEA results of T cells of anti-PD-1 responders and non-
735	responders, related to Figure 7.
736	Supplementary Table 7. Gene lists for score analysis, related to Figure 7.
737	
738	

### 739 Supplementary Figure Legends

740

Supplementary Figure 1 | Subtypes of T cells and myeloid cells. A, Proportion of cell types in each patient. B, UMAP of T cells with subset clusters. C, Marker genes of T cell subset expression were displayed with a dot plot. D, Detailed subsets of the T2 subgroup were shown with marker genes. E-F, Detailed subsets in the T2 sub-group were shI with UMAP (E) and stacked bar plot (F). G, Subsets of myeloid cells were shown with marker genes expression using a dot plot.

747

Supplementary Figure 2 | Myeloid-T cell (M-T) classification-based analysis in 748 different cell types. A, T<sub>ex</sub> cell marker genes expressions in T cell-based sub-groups 749 from T cell cluster. B, Dot plot showing Tex cell marker genes expression and 750 significance in M-T classified T cells. Each group was analyzed with the rest for each 751 gene expression, and t-test results were displayed with color spectrum. C, T<sub>ex</sub> cell 752 markers expression in each M-T sub-group of T cells. D, Enrichment plot of 'Interferon 753 alpha beta signaling' pathway from GSEA analysis of Ma-T4- and Mc-T4-grouped T 754 cells. E, The results of GSEA analysis of epithelial cells in Ma-T4 and Mc-T4 groups of 755 patients were compared. GOBP and REACTOME databases were used, and the 756 significant signaling with a positive value of NES was compared. Overlapped signaling 757 pathways were displayed with a Venn diagram. F, Myeloid cells of Ma-T4 and Mc-T4 758 groups were analyzed with GSEA using GOBP and REACTOME database. Significant 759 signaling with a positive value of NES was listed and displayed with a Venn diagram. 760

761

Supplementary Figure 3 | Epithelial cell analysis by myeloid-T cell (M-T) 762 classification. A, Dot plot showing the TIGIT expression in M-T classified epithelial 763 cells and its significance. TIGIT expression in each group was compared to the rest of 764 the groups to conduct a *t*-test. **B**, NECTIN2 expression in epithelial cells, grouped by M-765 T classification. C, UMAP display with whole cells. Epithelial cell cluster is highlighted. D, 766 NECTIN2 expression comparison in M-T classified epithelial cells. E, NECTIN2 767 expression and significance in each M-T classified epithelial cell group. NECTIN2 768 expression in each group was compared to the rest of the groups to conduct a *t*-test. 769 770

Supplementary Figure 4 | Cell-to-cell interactions comparison in F1-T4 and F4-T2 771 groups. A, Tex marker genes expression in fibroblast-T cell (F-T) classified T cells. The 772 fraction of cells in the group expressing each gens and significance are displayed. Each 773 gene in the sub-group was analyzed with the rest of the groups to perform a t-test. **B**, T 774 cells grouped by F1-T4 and F4-T2 were subjected to GSEA using the REACTOME 775 database. A list of pathways with positive or negative NES was displayed with a Venn 776 diagram. C, GSEA results from epithelial cells of F1-T4 and F4-T2 were listed and 777 compared with the Venn diagram. **D.** Patients of myeloid-T cells (M-T) and fibroblast-T 778 cells (F-T) were compared using the Sankey plot. E, Comparative circle plot showing 779 the significant signaling in F1-T4 and F4-T2 groups. The total number of interactions 780 (top) and interaction weight (bottom) were compared in two groups. Red lines indicate 781 increased signaling in F1-T4, and blue lines show decreased signaling in F4-T2. F. 782 Interactions between fibroblast and other cell types were calculated and compared in 783

F1T4 and F4-T2 groups. The sources (or ligands) from fibroblast and Receiver (or receptor) of different cells were displayed with a bubble plot.

786

Supplementary Figure 5 | Transcriptomic analysis of mast cell cluster. A, Mast
 cells were isolated from non-epithelial cells, and UMAP was re-drawn with individual
 patient information. B, Fibroblasts were analyzed by principal component analysis (PCA)
 and Pearson correlation. PCA result was clustered by the dendrogram, and Pearson
 correlation was displayed by color spectrum.

792

Supplementary Figure 6 | M-T groups- and F-T groups-based biomarkers of TME
 cells and their correlation with prognosis. A-B, Expression of marker genes of
 epithelial cells in Ma-T4, Mc-T4, and Mb-T2 groups were shown with UMAP (A) and
 violin plots (B). C-D, Expression of markers from F1-T4 and F4-T2 classified epithelial
 cells with UMAP (C) and violin plots (D). E, Markers from epithelial cells from Ma-T4,
 Mb-T2, F1-T4, and F4-T2 categories were evaluated with their prognostic correlation of
 ESCC patients using Kaplan-Meier plots. HR, Hazard Ratio. \*\*\*\*p<0.0001.</li>

800

Supplementary Figure 7 | M-T groups- and F-T groups-based biomarkers of TME 801 cells and their correlation with prognosis. A-B. Markers of myeloid cells in Ma-T4 802 and Mb-T2 groups of patients were shown with dot plot (A), and prognostically 803 correlated genes were shown with violin plot (B). C-D, Markers of T cells in Ma-T4 and 804 Mb-T2 groups of patients were displayed with dot plot (C), and prognostically correlated 805 gene was shown with violin plot (D). E, Ma-T4 and Mb-T2 groups-specific markers in 806 myeloid and T cells were evaluated with their prognostic correlation of ESCC patients 807 using Kaplan-Meier plots. F-G, Markers of fibroblasts in F1-T4 and F4-T2 groups of 808 patients were shown with dot plot (F), and prognostically correlated genes were shown 809 with violin plot (G). H-I, Markers of T cells in F1-T4 and F4-T2 groups of patients were 810 displayed with dot plot (H), and prognostically correlated genes were shown with violin 811 plot (I). J, Markers of F1-T4 and F4-T2 groups of fibroblasts were evaluated with their 812 prognostic correlation of ESCC patients. Kaplan-Meier plots were displayed with an F1-813 T4 marker (S100A10 and FABP5) and an F4-T2 marker (STK4). K, Markers of F1-T4 814 and F4-T2 T cell groups were analyzed for their prognostic correlation in ESCC patients. 815 F1-T4 marker (BAG3) and F4-T2 marker (SNRPD3) were displayed with Kaplan-Meier 816 plots. HR, Hazard Ratio. 817

818

Supplementary Figure 8 | Comparison between responders and non-responders 819 for anti-PD-1 immunotherapy. A, Tex markers expression was compared between 820 responders and non-responders groups. \*\*\*\*p<0.0001. B, Correlation matrix with three 821 M-T patient groups (Ma-T4, Mb-T2, and Mc-T4) and anti-PD-1 response groups (R and 822 NR), PCA result was clustered by the dendrogram, and Pearson correlation was 823 displayed by color spectrum. C-D, T cell and myeloid cell clusters of 69 ESCC patients 874 were integrated first (C) and subjected to correlation analysis to find 11 myeloid-T cell-825 combined subgroups (D). E, TME transcriptomes of myeloid-T cell combined subgroups 826 were integrated with PBMCs transcriptomes of anti-PD-1 responders and non-827 responders. F, Myeloid-T cell-combined subgroups were compared with M-T 828 classifications using the Sankey plot. G-H, exhausted T cell scores were assessed in M-829

T groups (G) and myeloid-T cell-combined subgroups (H). I, Correlation matrix with 830 myeloid-T cell-combined subgroups and anti-PD-1 response groups. PCA result was 831 clustered by the dendrogram, and Pearson correlation was displayed by color spectrum. 832 J-K, 69 ESCC patients were categorized into 4 quartiles by exhausted T cell score 833 values (High, High-Mid, Mid-Low, and Low) in T cells (J) and compared with M-T groups 834 (K). L-M, ESCC patients' transcriptomes grouped by quartile values were integrated 835 with transcriptomes of anti-PD-1 responders and non-responders (L), followed by 836 correlation analysis (M). N-O, Transcriptomes of 69 ESCC patients were divided into 2 837 groups by mean value of exhausted T cell scores in T cells (N) and compared with M-T 838 groups (O). P-Q, 69 ESCC patients' TME transcriptomes were integrated with PBMCs 839 transcriptomes from anti-PD-1-experienced patients (P), and subjected to correlation 840 analysis (Q) 841

842

843

#### 845 **References**

- 8461. Sung H, Ferlay J, Siegel RL, Laversanne M, Soerjomataram I, Jemal A, et al. Global
- <sup>847</sup> Cancer Statistics 2020: GLOBOCAN Estimates of Incidence and Mortality Worldwide for
- <sup>848</sup> 36 Cancers in 185 Countries. CA Cancer J Clin. 2021;71(3):209-49. Epub 2021/02/05.
- doi: 10.3322/caac.21660. PubMed PMID: 33538338.
  8502. Rustgi AK, El-Serag HB. Esophageal carcinoma. N Engl J Med. 2014;371(26):2499-509.
- Epub 2014/12/30. doi: 10.1056/NEJMra1314530. PubMed PMID: 25539106.
- 8523. Pennathur A, Gibson MK, Jobe BA, Luketich JD. Oesophageal carcinoma. Lancet.
- <sup>853</sup> 2013;381(9864):400-12. Epub 2013/02/05. doi: 10.1016/S0140-6736(12)60643-6.
- <sup>854</sup> PubMed PMID: 23374478.
- Baba Y, Yoshida N, Kinoshita K, Iwatsuki M, Yamashita YI, Chikamoto A, et al. Clinical
   and Prognostic Features of Patients With Esophageal Cancer and Multiple Primary
- <sup>857</sup> Cancers: A Retrospective Single-institution Study. Ann Surg. 2018;267(3):478-83. Epub
- <sup>858</sup> 2017/02/06. doi: 10.1097/SLA.000000000002118. PubMed PMID: 28151796.
- <sup>859</sup>5. Board PATE. Esophageal Cancer Treatment (Adult) (PDQ(R)): Patient Version. PDQ
   <sup>860</sup> Cancer Information Summaries. Bethesda (MD)2002.
- 8616. Tuma JM, Pratt JMCcpp, training AsloCC, Adolescent Psychology hdoa, Gobry FhiatyJ,
- <sup>862</sup> Osment SETAOR, et al. Dropbox Quick Start. Change. 2010;66(2):1-5. doi:
- <sup>863</sup> 10.1074/jbc.M311198200.
- 8647. Yamamoto S, Kato K. JUPITER-06 establishes immune checkpoint inhibitors as
- essential first-line drugs for the treatment of advanced esophageal squamous cell
- carcinoma. Cancer Cell. 2022;40(3):238-40. Epub 2022/03/05. doi:
- 10.1016/j.ccell.2022.02.009. PubMed PMID: 35245448.
- <sup>868</sup>8. Doki Y, Ajani JA, Kato K, Xu J, Wyrwicz L, Motoyama S, et al. Nivolumab Combination <sup>869</sup> Therapy in Advanced Esophageal Squamous-Cell Carcinoma. N Engl J Med.
- <sup>870</sup> 2022;386(5):449-62. Epub 2022/02/03. doi: 10.1056/NEJMoa2111380. PubMed PMID: <sup>871</sup> 35108470.
- <sup>872</sup>9. Wang ZX, Cui C, Yao J, Zhang Y, Li M, Feng J, et al. Toripalimab plus chemotherapy in <sup>873</sup>treatment-naive, advanced esophageal squamous cell carcinoma (JUPITER-06): A
- multi-center phase 3 trial. Cancer Cell. 2022;40(3):277-88 e3. Epub 2022/03/05. doi:
- <sup>875</sup> 10.1016/j.ccell.2022.02.007. PubMed PMID: 35245446.
- 87610. Kudo T, Hamamoto Y, Kato K, Ura T, Kojima T, Tsushima T, et al. Nivolumab treatment
- <sup>877</sup> for oesophageal squamous-cell carcinoma: an open-label, multicentre, phase 2 trial.
- Lancet Oncol. 2017;18(5):631-9. Epub 2017/03/21. doi: 10.1016/S1470-2045(17)30181-X. PubMed PMID: 28314688.
- 88011. Baba Y, Nomoto D, Okadome K, Ishimoto T, Iwatsuki M, Miyamoto Y, et al. Tumor
- immune microenvironment and immune checkpoint inhibitors in esophageal squamous
- cell carcinoma. Cancer Sci. 2020;111(9):3132-41. Epub 2020/06/25. doi:
- 10.1111/cas.14541. PubMed PMID: 32579769; PMCID: PMC7469863.
- 88412. Doi T, Piha-Paul SA, Jalal SI, Saraf S, Lunceford J, Koshiji M, et al. Safety and
- Antitumor Activity of the Anti-Programmed Death-1 Antibody Pembrolizumab in Patients
- With Advanced Esophageal Carcinoma. J Clin Oncol. 2018;36(1):61-7. Epub
- 2017/11/09. doi: 10.1200/JCO.2017.74.9846. PubMed PMID: 29116900.
- 88813. Balkwill FR, Capasso M, Hagemann T. The tumor microenvironment at a glance. J Cell
- 889 Sci. 2012;125(Pt 23):5591-6. Epub 2013/02/20. doi: 10.1242/jcs.116392. PubMed PMID:
- <sup>890</sup> 23420197.

<sup>891</sup>14.Quail DF, Joyce JA. Microenvironmental regulation of tumor progression and metastasis. Nat Med. 2013;19(11):1423-37. Epub 2013/11/10. doi: 10.1038/nm.3394. PubMed 892 PMID: 24202395; PMCID: PMC3954707. 893 <sup>894</sup>15. Yao J, Cui Q, Fan W, Ma Y, Chen Y, Liu T, et al. Single-cell transcriptomic analysis in a mouse model deciphers cell transition states in the multistep development of 895 esophageal cancer. Nat Commun. 2020;11(1):3715. Epub 2020/07/28. doi: 896 10.1038/s41467-020-17492-y. PubMed PMID: 32709844; PMCID: PMC7381637. 897 <sup>898</sup>16. Chen Z, Zhao M, Liang J, Hu Z, Huang Y, Li M, et al. Dissecting the single-cell transcriptome network underlying esophagus non-malignant tissues and esophageal 899 squamous cell carcinoma. EBioMedicine. 2021;69:103459. Epub 2021/07/01. doi: 900 10.1016/j.ebiom.2021.103459. PubMed PMID: 34192657; PMCID: PMC8253912. 901 <sup>902</sup>17. Zhang X, Peng L, Luo Y, Zhang S, Pu Y, Chen Y, et al. Dissecting esophageal squamous-cell carcinoma ecosystem by single-cell transcriptomic analysis. Nat 903 Commun. 2021;12(1):5291. Epub 2021/09/08. doi: 10.1038/s41467-021-25539-x. 904 PubMed PMID: 34489433; PMCID: PMC8421382. 905 <sup>906</sup>18. Dinh HQ, Pan F, Wang G, Huang QF, Olingy CE, Wu ZY, et al. Integrated single-cell transcriptome analysis reveals heterogeneity of esophageal squamous cell carcinoma 907 microenvironment. Nat Commun. 2021;12(1):7335. Epub 2021/12/19. doi: 908 10.1038/s41467-021-27599-5. PubMed PMID: 34921160; PMCID: PMC8683407. 909 91019. Amin MB, Greene FL, Edge SB, Compton CC, Gershenwald JE, Brookland RK, et al. The Eighth Edition AJCC Cancer Staging Manual: Continuing to build a bridge from a 911 population-based to a more "personalized" approach to cancer staging. CA Cancer J 912 Clin. 2017;67(2):93-9. Epub 2017/01/18. doi: 10.3322/caac.21388. PubMed PMID: 913 28094848. 914 91520. Gay CM, Stewart CA, Park EM, Diao L, Groves SM, Heeke S, et al. Patterns of transcription factor programs and immune pathway activation define four major 916 subtypes of SCLC with distinct therapeutic vulnerabilities. Cancer Cell. 2021;39(3):346-917 60 e7. Epub 2021/01/23. doi: 10.1016/j.ccell.2020.12.014. PubMed PMID: 33482121; 918 PMCID: PMC8143037. 919 92021. Joanito I, Wirapati P, Zhao N, Nawaz Z, Yeo G, Lee F, et al. Single-cell and bulk transcriptome sequencing identifies two epithelial tumor cell states and refines the 921 consensus molecular classification of colorectal cancer. Nat Genet. 2022;54(7):963-75. 922 Epub 2022/07/01. doi: 10.1038/s41588-022-01100-4. PubMed PMID: 35773407; 923 PMCID: PMC9279158 (Singapore Branch). A.N. and J.G. are employees of NantOmics. 924 The remaining authors declare no competing interests. 925 92622. Sun H, Wang X, Wang X, Xu M, Sheng W. The role of cancer-associated fibroblasts in tumorigenesis of gastric cancer. Cell Death Dis. 2022;13(10):874. Epub 2022/10/17. doi: 927 10.1038/s41419-022-05320-8. PubMed PMID: 36244987; PMCID: PMC9573863. 928 92923. Gunaydin G. CAFs Interacting With TAMs in Tumor Microenvironment to Enhance Tumorigenesis and Immune Evasion. Front Oncol. 2021;11:668349. Epub 2021/08/03. 930 doi: 10.3389/fonc.2021.668349. PubMed PMID: 34336660; PMCID: PMC8317617. 931 93224. Korsunsky I, Millard N, Fan J, Slowikowski K, Zhang F, Wei K, et al. Fast, sensitive and accurate integration of single-cell data with Harmony. Nat Methods. 2019;16(12):1289-933 96. Epub 2019/11/20. doi: 10.1038/s41592-019-0619-0. PubMed PMID: 31740819; 934 PMCID: PMC6884693. 935

93625. Roychoudhuri R, Eil RL, Restifo NP. The interplay of effector and regulatory T cells in cancer. Curr Opin Immunol. 2015;33:101-11. Epub 2015/03/03. doi: 937 10.1016/j.coi.2015.02.003. PubMed PMID: 25728990. 938 93926. Pages F, Berger A, Camus M, Sanchez-Cabo F, Costes A, Molidor R, et al. Effector memory T cells, early metastasis, and survival in colorectal cancer. N Engl J Med. 940 2005;353(25):2654-66. Epub 2005/12/24. doi: 10.1056/NEJMoa051424. PubMed PMID: 941 16371631. 942 94327. Kaech SM, Wherry EJ, Ahmed R. Effector and memory T-cell differentiation: implications for vaccine development. Nat Rev Immunol. 2002;2(4):251-62. Epub 944 2002/05/11. doi: 10.1038/nri778. PubMed PMID: 12001996. 945 94628. Martin MD, Badovinac VP. Defining Memory CD8 T Cell. Front Immunol. 2018;9:2692. Epub 2018/12/06. doi: 10.3389/fimmu.2018.02692. PubMed PMID: 30515169; PMCID: 947 PMC6255921. 948 94929. Jin S, Guerrero-Juarez CF, Zhang L, Chang I, Ramos R, Kuan CH, et al. Inference and analysis of cell-cell communication using CellChat. Nat Commun. 2021;12(1):1088. 950 Epub 2021/02/19. doi: 10.1038/s41467-021-21246-9. PubMed PMID: 33597522; 951 PMCID: PMC7889871. 952 95330. Jackson Z, Hong C, Schauner R, Dropulic B, Caimi PF, de Lima M, et al. Sequential Single-Cell Transcriptional and Protein Marker Profiling Reveals TIGIT as a Marker of 954 CD19 CAR-T Cell Dysfunction in Patients with Non-Hodgkin Lymphoma. Cancer Discov. 955 2022;12(8):1886-903. Epub 2022/05/14. doi: 10.1158/2159-8290.CD-21-1586. PubMed 956 PMID: 35554512; PMCID: PMC9357057. 957 95831. Ostroumov D, Duong S, Wingerath J, Woller N, Manns MP, Timrott K, et al. Transcriptome Profiling Identifies TIGIT as a Marker of T-Cell Exhaustion in Liver 959 Cancer. Hepatology. 2021;73(4):1399-418. Epub 2020/07/28. doi: 10.1002/hep.31466. 960 PubMed PMID: 32716559. 961 96232. Deuss FA, Gully BS, Rossjohn J, Berry R. Recognition of nectin-2 by the natural killer cell receptor T cell immunoglobulin and ITIM domain (TIGIT). J Biol Chem. 963 2017;292(27):11413-22. Epub 2017/05/19. doi: 10.1074/jbc.M117.786483. PubMed 964 PMID: 28515320; PMCID: PMC5500806. 965 96633. Chiang EY, Mellman I. TIGIT-CD226-PVR axis: advancing immune checkpoint blockade for cancer immunotherapy. J Immunother Cancer. 2022;10(4). Epub 2022/04/06. doi: 967 10.1136/jitc-2022-004711. PubMed PMID: 35379739; PMCID: PMC8981293. 968 96934. Pauken KE, Wherry EJ. TIGIT and CD226: tipping the balance between costimulatory and coinhibitory molecules to augment the cancer immunotherapy toolkit. Cancer Cell. 970 2014;26(6):785-7. Epub 2014/12/10. doi: 10.1016/j.ccell.2014.11.016. PubMed PMID: 971 25490444. 972 97335. Kurtulus S, Sakuishi K, Ngiow SF, Joller N, Tan DJ, Teng MW, et al. TIGIT predominantly regulates the immune response via regulatory T cells. J Clin Invest. 974 2015;125(11):4053-62. Epub 2015/09/29. doi: 10.1172/JCI81187. PubMed PMID: 975 26413872; PMCID: PMC4639980. 976 97736. Bottino C, Castriconi R, Pende D, Rivera P, Nanni M, Carnemolla B, et al. Identification of PVR (CD155) and Nectin-2 (CD112) as cell surface ligands for the human DNAM-1 978

- (CD226) activating molecule. J Exp Med. 2003;198(4):557-67. Epub 2003/08/13. doi:
- <sup>980</sup> 10.1084/jem.20030788. PubMed PMID: 12913096; PMCID: PMC2194180.

98137. Chauvin JM, Zarour HM. TIGIT in cancer immunotherapy. J Immunother Cancer. 2020;8(2). Epub 2020/09/10. doi: 10.1136/jitc-2020-000957. PubMed PMID: 32900861; 982 PMCID: PMC7477968. 983 98438. Yu X, Harden K, Gonzalez LC, Francesco M, Chiang E, Irving B, et al. The surface protein TIGIT suppresses T cell activation by promoting the generation of mature 985 immunoregulatory dendritic cells. Nat Immunol. 2009;10(1):48-57. Epub 2008/11/18. doi: 986 10.1038/ni.1674. PubMed PMID: 19011627. 987 98839. Mullard A. Roche's anti-TIGIT drug suffers a phase III cancer setback. Nat Rev Drug Discov. 2022;21(5):327. Epub 2022/04/10. doi: 10.1038/d41573-022-00068-4. PubMed 989 PMID: 35396356. 990 99140. Johnson ML, Fox W, Lee Y-G, Lee KH, Ahn HK, Kim Y-C, et al. ARC-7: Randomized phase 2 study of domvanalimab + zimberelimab ± etrumadenant versus zimberelimab in 992 first-line, metastatic, PD-L1-high non-small cell lung cancer (NSCLC). Journal of Clinical 993 Oncology. 2022;40(36\ suppl):397600-. doi: 10.1200/JCO.2022.40.36\ suppl.397600. 994 99541. Chen J, Cao Y, Markelc B, Kaeppler J, Vermeer JA, Muschel RJ. Type I IFN protects cancer cells from CD8+ T cell-mediated cytotoxicity after radiation. J Clin Invest. 996 2019;129(10):4224-38. Epub 2019/09/05. doi: 10.1172/JCI127458. PubMed PMID: 997 31483286; PMCID: PMC6763250. 998 99942. Borden EC. Interferons alpha and beta in cancer: therapeutic opportunities from new insights. Nat Rev Drug Discov. 2019;18(3):219-34. Epub 2019/01/27. doi: 1000 10.1038/s41573-018-0011-2. PubMed PMID: 30679806. 1001 100243. Benci JL, Xu B, Qiu Y, Wu TJ, Dada H, Twyman-Saint Victor C, et al. Tumor Interferon Signaling Regulates a Multigenic Resistance Program to Immune Checkpoint Blockade. 1003 Cell. 2016;167(6):1540-54 e12. Epub 2016/12/03. doi: 10.1016/j.cell.2016.11.022. 1004 PubMed PMID: 27912061; PMCID: PMC5385895. 1005 100644. Chen YL, Wu WL, Jang CW, Yen YC, Wang SH, Tsai FY, et al. Interferon-stimulated gene 15 modulates cell migration by interacting with Rac1 and contributes to lymph 1007 node metastasis of oral squamous cell carcinoma cells. Oncogene. 2019;38(23):4480-1008 95. Epub 2019/02/16. doi: 10.1038/s41388-019-0731-8. PubMed PMID: 30765861. 1009 101045. Burks J, Reed RE, Desai SD. ISGylation governs the oncogenic function of Ki-Ras in breast cancer. Oncogene. 2014:33(6):794-803. Epub 2013/01/16. doi: 1011 10.1038/onc.2012.633. PubMed PMID: 23318454. 1012 101346. Huang YF, Wee S, Gunaratne J, Lane DP, Bulavin DV. Isg15 controls p53 stability and functions. Cell Cycle. 2014;13(14):2200-10. Epub 2014/05/23. doi: 10.4161/cc.29209. 1014 PubMed PMID: 24844324; PMCID: PMC4111675. 1015 101647. Huang YF, Bulavin DV. Oncogene-mediated regulation of p53 ISGylation and functions. Oncotarget. 2014;5(14):5808-18. Epub 2014/07/30. doi: 10.18632/oncotarget.2199. 1017 PubMed PMID: 25071020; PMCID: PMC4170631. 1018 101948. Deng T, Wang H, Yang C, Zuo M, Ji Z, Bai M, et al. Single cell sequencing revealed the mechanism of PD-1 resistance affected by the expression profile of peripheral blood 1020 immune cells in ESCC. Front Immunol. 2022;13:1004345. Epub 2022/12/06. doi: 1021 10.3389/fimmu.2022.1004345. PubMed PMID: 36466860; PMCID: PMC9712746. 1022 102349. Jung YS, Jun S, Kim MJ, Lee SH, Suh HN, Lien EM, et al. TMEM9 promotes intestinal tumorigenesis through vacuolar-ATPase-activated Wnt/beta-catenin signalling. Nat Cell 1024 Biol. 2018;20(12):1421-33. Epub 2018/10/31. doi: 10.1038/s41556-018-0219-8. 1025 PubMed PMID: 30374053; PMCID: PMC6261670. 1026

Figure 1



**ICIs responders** 

ICIs non-responders

TME transcritpomics-based subtyping of patients

# Figure 2



# Figure 3





Figure Brite https://doi.org/10.1101/2023.02.15.528539; this version posted February 15, 2023. The copyright holder for this preprint which was not certified by peer review) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is made available under aCC-BY-NC-ND 4.0 International license.



Ó

4000 8000 rank

12000

ò

<sup>4000</sup>rank<sup>8000</sup>

# Figure 6





# Figure 8



# **Supplementary Figure 1**



bioRxiv preprint doi: https://doi.org/10.1101/2023.02.15.528539; this version posted February 15, 2023. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is made available under aCC-BY-NC-ND 4.0 International license.



0.0 1.0 2.0 3.0



Mitochondrial electron transport NADH to Ubiquinone

# **Supplementary Figure 3**



# Supplementary Figure 4





















41 <mark>31</mark> 60 48 21 16 0 <mark>9</mark> 0 0 0 0 4 0 4 

59 47 12 4 22 17 9 4 



# **Supplementary Figure 8**

